PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLIS	HED (JNDER THE PATENT COOPERATION TREATY (PCT)
(51) International Patent Classification 6:		(11) International Publication Number: WO 99/22710
A61K 7/48, 7/032, 7/027, 7/02, 7/025	A1	(43) International Publication Date: 14 May 1999 (14.05.99)
(21) International Application Number: PCT/US (22) International Filing Date: 29 October 1998 ((30) Priority Data:	(29 .10.9	BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KB, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF,
(72) Inventors: KONIK, Richard, A.; 673 Lincoln Sayville, NY 11782 (US). PAINTER, Rache Longhorn Lane, E. Setauket, NY 11736 (US). NIEWSKI, George, J.; 26 Glendale Drive, Mel 11747 (US). DAVIS, Suzanne, J.; 634 Stanton Balldwin, NY 11510 (US).	l, J.; STE ville, N	With international search report. P. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of

(54) Title: TRANSFER-RESISTANT COLOR COSMETIC COMPOSITION

(74) Agent: TSEVDOS, Estelle, J.; Kenyon & Kenyon, One Broadway, New York, NY 10004 (US).

(57) Abstract

The invention relates to transfer-resistant color cosmetic compositions comprising a film forming agent, a volatile oil, a styrene-ethylene-propylene copolymer as gellant, and optionally, a pigment.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	1L	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	ltaly	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya .	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	Li	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
RE	Estonia	LR	Liberia	SG	Singapore		

TRANSFER RESISTANT COLOR COSMETIC COMPOSITION

Related Applications

5

10

15

20

25

30

This application is a continuation-in-part of copending US Serial No. 08/962,100, the contents of which are incorporated herein by reference in their entirety.

Field of the Invention

The invention relates to cosmetic compositions. More specifically, the invention relates to waterproof and transfer-resistant cosmetic compositions.

Background of the Invention

There is currently a very strong trend among cosmetics consumers to want products that last the day without the need for refreshing or touching up. It is preferred that a color cosmetic product applies easily, leaving a clear vivid color which remains in place at least through the work day, and preferably into the evening. Given the hectic lifestyles of most consumers, however, providing such a product is not a simple task. Daily physical activity, particularly in the form of daily exercise, which is now so common, is not conducive to makeup retention, with the combination of perspiration and body oils routinely washing away the typical color products with very little effort. addition, it is also preferred that the product not readily transfer from the place of application. Consumers no longer readily accept a lipstick which leaves its color on a coffee cup, or a foundation which leaves smudges on the collar of a white blouse. Although many currently available products attempt to achieve this desired long-lasting property, there are often other undesirable properties, such as dryness, or difficulty in application, that go along with ability to remain in place on the skin. Thus, there continues to be a need for a color cosmetic which applies smoothly, which is not subject to smearing, flaking, or

smudging, and also retains a strong, non-fading color
throughout the day. The present invention now provides such
a product.

5 Summary of the Invention

10

15

20

25

30

The present invention relates to a water-proof or water resistant cosmetic composition for application to the skin comprising a volatile oil solvent, a film-forming agent, and a styrene-ethylene-propylene copolymer as gellant. In a preferred embodiment, the composition also contains a pigment. The unpigmented composition can be used for waterproofing non-waterproof color cosmetics, such as a nonwaterproof eyeliner. When pigment-containing, the compositions of the invention can be any type of color cosmetic, for example, foundations, blushes, lipsticks or glosses, mascaras for hair and lashes, eyeshadows and eyeliners. The compositions of the invention are waterproof, smudgeproof, non-flaking and when pigmented, transferresistant, retaining vibrant color on the skin, with substantially no transfer or fading, for several hours up to a full day.

Detailed Description of the Invention

The compositions of the invention have a volatile oil base, which provides for a very quick-drying product, which in turn reduces the tendency to smear. Suitable volatile oils for use in the composition include, but are not limited to, both cyclic and linear silicones, such as hexamethylcyclotrisiloxane, octamethylcyclotetrasiloxane, and decamethylcyclopentasiloxane; or straight or branched chain hydrocarbons having from 8-20 carbon atoms, such as decane, dodecane, tridecane, tetradecane, and C8-20 isoparaffins. Preferred volatile oils are a C8-9 isoparaffin, such as is commercially available from Exxon Corporation, as Isopar E®, or a C9-C12 aliphatic hydrocarbon,

such as is commercially available under the tradename Permethyl®99A, from Permethyl Corp., Frazer, Pennsylvania), or a combination of these. The volatile oil component constitutes from about 1-90%, preferably about 50-85%, by weight of the total composition.

5

10

15

20

25

30

Combined with the volatile oil is at least one filmforming agent, which provides a waterproofing property to the composition, improving the wear of the composition, and also conferring transfer-resistance to the makeup product. The film-forming agent may be any which is cosmetically acceptable. Examples of useful film-forming agents include natural waxes, polymers such as polyethylene polymers, and copolymers of PVP, ethylene vinyl acetate, dimethicone gum, and resins, such as shellac, polyterpenes, and various silicone resins, e.g., trimethylsiloxysilicate. The filmformer is used in an amount of from about .1-50%, more preferably from about 1-20%. Particularly preferred filmformers are PVP copolymers, such as PVP/eicosane copolymer or a tricontanyl PVP, which produce a smooth, non-tacky film on the skin. Such copolymers are commercially available under the tradename Ganex® from GAF.

In order to incorporate pigment into the volatile oil-film-former combination, it is necessary to add a gellant; in the absence of a gellant, the pigment will simply fall out of suspension. In this type of formula, the most commonly used gellants are clay-based gellants, such as bentone, which when used as the sole gellant, may not always produce a clear gel. Moreover, the use of clay-based gellants like bentone as the sole gellant, depending on the film-forming agent employed, can result in a product which is unstable, allowing leakage of solvents and emollients from the gel matrix. To avoid these problems, a styrene-ethylene-polypropylene copolymer is used as gellant. The use of a styrene-ethylene-polypropylene copolymer as gellant

results in a very translucent, non-cloudy, shiny product which permits the true color of the pigment to come through. The pigment readily remains in suspension, and the product so prepared also retains stability over prolonged periods of time, thereby producing a superior product to one in which a clay-based solvent is used. In addition, even in the absence of a pigment, a desirable viscosity enhancement is achieved by the use of the copolymer.

5

10

15

20

25

30

The copolymer gellants of the invention are particulate diblock copolymers having the formula S-EP, wherein "S" denotes a block comprising styrene monomers and "EP" denotes a block comprising ethylene and propylene monomers. These materials are well known in the art, and are available commercially, for example, from Shell Chemical Company, Oak Brook, Illinois under the tradename "Kraton® G rubber". A particularly preferred material is Kraton® G-1701X. The amount of the gellant used in the formulation is from about 1-15%, more preferably 3-8% by weight of the total composition.

In a preferred embodiment, the composition contains less than 5%, and preferably none, of a non-volatile oil component. The use of a non-volatile oil can cause plasticizing of the film-forming agent, thereby reducing the product's resistance to smudging. The absence of a non-volatile oil thus results in a product with greater wear. With the use of a pliable film-former such as Ganex®, a non-volatile oil is unnecessary to soften it; however, if a harder, or more brittle, film-former is used, a small amount of non-volatile oil may be necessary to achieve the desired consistency of the product.

Additional preferred components of the cosmetic compositions of the invention include one or more pigments. Any cosmetically acceptable pigment, either organic, inorganic, or combinations thereof, can be used in the

makeup compositions of the invention. Examples of useful inorganic pigments include iron oxides (yellow, red, brown or black), ferric ammonium ferrocyanide(blue), manganese violet, ultramarine blue, chrome oxide(green), talc, lecithin modified talc, zeolite, kaolin, lecithin modified kaolin, titanium dioxide(white) and mixtures thereof. Other useful pigments are pearlants such as mica, bismuth oxychloride and treated micas, such as titanated micas and lecithin modified micas.

5

10

15

20

25

30

The organic pigments include natural colorants and synthetic monomeric and polymeric colorants. Exemplary are phthalocyanine blue and green pigment, diarylide yellow and orange pigments, and azo-type red and yellow pigments such as toluidine red, litho red, naphthol red and brown pigments. Also useful are lakes, which are pigments formed by the precipitation and absorption of organic dyes on an insoluble base, such as alumina, barium, or calcium hydrates. Particularly preferred lakes are primary FD&C or D&C Lakes and blends thereof.

It will be recognized that when the product is, for example, an eyeliner or other eye product, the pigment should be one the use of which is approved for the eye area. Examples of useful pigments for the eye are metallic oxides, such as titanium or iron oxides, bismuth oxychloride, carmine, chromium oxide or chromium hydroxide greens, ultramarines, ferric ferrocyanide, ferric ammonium ferrocyanide, mica, FD&C blue No. 1, FD&C Red No.40, FD&C yellow No. 5, and FD&C green No. 5. Pigment concentrations will vary depending upon the color of the final product, but generally will be in the range of from about 0.1 to about 30% more preferably from about 1 to about 20%, by weight of the total composition.

The compositions of the invention may also comprise additional, optional components. For example, it may be

desirable to add one or more preservatives or antioxidants to the formulation. Appropriate preservatives may include propyl paraben, butyl paraben, mixtures thereof, or isoforms thereof, as well as BHA or BHT.

In particularly preferred embodiments, the compositions of the invention are used as a liquid eyeliner, or as a body paint. In the latter embodiment, the composition can be used to create long-lasting, yet temporary, tattoos or designs on the skin.

The invention is further illustrated by the following non-limiting examples:

EXAMPLES

15 Example I:

5

A formulation according to the invention is prepared as follows:

	<u>Material</u>	Weight %
20	Phase 1	
	C8-9 isoparaffin	64.85
	Phase 2	
	<pre>styrene-ethylene-propylene copolymer</pre>	5.00
25	<pre>trimethylsiloxysilicate</pre>	5.00
•	PVP/eicosene copolymer	5.00
	tricontanyl PVP	5.00
	polyethylene	5.00
	<pre>isododecane/quaternium-18 hectorite</pre>	0.10
30	BHT	0.10
	Phase 3	
	iron oxides/methicone	10.00

The Phase 2 components are dissolved in Phase 1

component at about 90°C, and mixed to homogeneity. Phase 3 components are then added to the mixture until homogeneously dispersed.

The product so prepared is stable, waterproof, and highly resistant to smudging.

What we claim is:

5

1. A waterproof or water resistant cosmetic compositions comprising a styrene-ethylene-propylene copolymer as gellant, a film forming agent, and a volatile oil.

- 2. The composition of claim 1 which also comprises a pigment.
- 3. The composition of claim 1 in which the film-forming agent is selected from the group consisting of natural waxes, polyethylene polymers, PVP copolymers, ethylene vinyl acetate, dimethicone gum, shellac, polyterpenes, and silicone resins.
- 4. The composition of claim 1 in which the film-forming agent is a PVP copolymer.
- 5. The composition of claim 4 in which the film-forming agent is a PVP/eicosene copolymer, a tricontanyl PVP copolymer, or a mixture thereof.
- 6. The composition of claim 1 in which the volatile oil is selected from the group consisting of cyclic and linear silicones, straight or branched chain hydrocarbons having from 8-20 carbon atoms, and C8-20 isoparaffins.
- 7. The composition of claim 1 in which the volatile oil is a C8-9 isoparaffin.
- 8. The composition of claim 1 in which the styreneethylene-propylene copolymer is present in an amount of from about 1 to about 15%.

9. The composition of claim 1 in which the film-forming agent is present in an amount of from about .1 to about 50%.

- 10. The composition of claim 1 in which the volatile oil is present in an amount of from about 1 to about 90%.
- 11. The composition of claim 1 comprising a styrene-ethylene-propylene copolymer in an amount of from about 1 to about 15%, a PVP copolymer in an amount of from about .1 to about 50%, and a volatile oil in an amount of from about 1 to about 90%.

5

5

- 12. The composition of claim 1 comprising a styrene-ethylene-propylene copolymer in an amount of from about 1 to about 15%, a PVP copolymer in an amount of from about .1 to about 50%, a volatile oil in an amount of from about 1 to about 90%; and a pigment in an amount of from about 1 to about 30%.
- 13. The composition of claim 1 comprising a styrene-ethylene-propylene copolymer in an amount of from about 5 to about 10%, a PVP copolymer in an amount of from about 1 to about 20%, and a volatile oil in an amount of from about 50 to about 85%, and a metallic oxide pigment in an amount of from about 1 to about 30%.
- 14. The composition of claim 11 in which the volatile oil is a C8-9 isoparaffin, a C_9-C_{12} aliphatic hydrocarbon, or a combination thereof.
- 15. The composition of claim 12 in which the volatile oil is a C8-9 isoparaffin, a C_9-C_{12} aliphatic hydrocarbon, or a combination thereof.

16. The composition of claim 13 in which the volatile oil is a C8-9 isoparaffin, a C_9 - C_{12} aliphatic hydrocarbon, or a combination thereof.

- 17. The composition of claim 11 which comprises less than 5% of a non-volatile oil.
- 18. The composition of claim 12 which comprises less than 5% of a non-volatile oil.
- 19. The composition of claim 13 which comprises substantially no non-volatile oil.
- 20. The composition of claim 11 which comprises substantially no non-volatile oil.
- 21. The composition of claim 12 which comprises substantially no non-volatile oil.
- 22. The composition of claim 13 which comprises substantially no non-volatile oil.

- 23. A waterproof or water resistant cosmetic compositions which comprises a styrene-ethylene-propylene copolymer in an amount of from about 5 to about 10%, a combination of a PVP/eicosene copolymer and a tricontanyl PVP copolymer in an amount of from about 1 to about 20%, a C8-9 isoparaffin, a C_9-C_{12} aliphatic hydrocarbon, or a combination thereof, in an amount of from about 50 to about 85%.
- 24. The composition of claim 23 which also comprises a pigment in an amount of from about 1 to about 30%.
- 25. A waterproof or water resistant cosmetic composition

which comprises a styrene-ethylene-propylene copolymer in an amount of from about 5 to about 10%, a combination of a PVP/eicosene copolymer and a tricontanyl PVP copolymer in an amount of from about 1 to about 20%, a C8-9 isoparaffin, a C9-C12 aliphatic hydrocarbon, or a combination thereof, in an amount of from about 50 to about 85%, and a metallic oxide pigment in an amount of from about 1 to about 30%.

- 26. The composition of claim 23 which also comprises at least one other film-forming agent, in an amount of from about 1-10%.
- 27. The composition of claim 25 which also comprises at least one other film-forming agent, in an amount of from about 1-10%.
- 28. The composition of claim 26 which comprises at least one film-former selected from the group consisting of polyethylene and trimethylsiloxysilicate.
- 29. The composition of claim 27 which comprises at least one film-forming agent selected from the group consisting of polyethylene and trimethylsiloxysilicate.

INTERNATIONAL SEARCH REPORT

Inter anal Application No PCT/US 98/22956

	210 1 MOU OF OUR IT OF MATTER			
IPC 6	FICATION OF SUBJECT MATTER A61K7/48 A61K7/032 A61K	7/027	A61K 7/02	A61K7/025
			•	
According to	o International Patent Classification (IPC) or to both national of	lassification a	nd IPC	
	SEARCHED			
	ocumentation searched (classification system followed by class	ssification syn	nbols)	
IPC 6	A61K			
Documenta	tion searched other than minimum documentation to the exten	nt that such do	ocuments are included in	the fields searched
Electronic d	ata base consulted during the international search (name of c	data base and	, where practical, search	n terms used)
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of	the relevant	passages	Relevant to claim No.
Υ	WO 94 12190 A (PENNZOIL PROD	CO)		1-3,6-10
	9 June 1994			
	see page 9 - line 16 see page 12, line 27 - line 3	3		·
	see page 12, Time 2, Time 3	3		
	see page 16; table 1			
,	see page 19, line 3 - line 9			<u> </u>
Υ	WO 92 19215 A (PROCTER & GAMB	IF)		1-3,6-10
'	12 November 1992	LL /	•	1 3,0 10
	cited in the application			ļ
	see the whole document			
Υ	US 5 389 363 A (SNYDER FLOREN	CF FT	Al)	1-29
	14 February 1995	· ·	, ,	
	see the whole document			
		-/		
:		-/		
V 51,000	ner documents are listed in the continuation of box C.	ſ∨	Patent family member	rs are listed in annex.
		<u> X</u>	inch talling maille	as association,
·	tegories of cited documents :			after the international filing date conflict with the application but
	ent defining the general state of the art which is not ered to be of particular relevance	C		inciple or theory underlying the
E earlier document but published on or after the international "X" document of				vance; the claimed invention ret or cannot be considered to
"L" docume	nt which may throw doubts on priority clairn(s) or is cited to establish the publication date of another	i	nvolve an inventive step	when the document is taken alone
citation	n or other special reason (as specified) ant referring to an oral disclosure, use, exhibition or	(annot be considered to it	vance; the claimed invention nvolve an inventive step when the th one or more other such docu-
other n	neans	n		being obvious to a person skilled
	ent published prior to the international filing date but an the priority date claimed		cument member of the s	ame patent family
Date of the	actual completion of the international search	0	ate of mailing of the inte	rnational search report
3.	3 February 1000		03/0 3/1999	,
	3 February 1999			
Name and m	nailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	A	uthorized officer	•
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni,		D-312 H-15	
	Fax: (+31-70) 340-3016	1	Pelli Wabla	τ, Β

INTERNATIONAL SEARCH REPORT

Inter: mal Application No PCT/US 98/22956

		PCT/US 98/22956
C.(Continua	stion) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate. If the relevant passages	Relevant to claim No.
Y	WO 97 29842 A (PENNZOIL PROD CO) 21 August 1997 see page 3, line 1 see page 3, line 24 - line 31 see page 6, line 26 - line 27 see page 6, line 33 - line 35 see claims 1-7	1-29
Y	EP 0 497 144 A (ESTEE LAUDER INC) 5 August 1992 cited in the application see page 2, line 33 - line 36 see page 2, line 43 - line 58 see claims 1,2,5,9,10	1-17
Y	US 5 026 540 A (DIXON RICHARD P ET AL) 25 June 1991 see abstract see column 2, line 22 - line 68 see column 3, line 16 - line 20 see claims 1,2,4,6	1-17
A	WO 94 17775 A (ESTEE LAUDER INC) 18 August 1994 see page 7, line 4 - line 17 see page 8, line 24 - line 28 see examples	1-5,7-19
P,X	WO 98 42298 A (AVON PROD INC) 1 October 1998 see the whole document	1-3,6-10
	·	

INTERNATIONAL SEARCH REPORT

information on patent family members

inter mai Application No PCT/US 98/22956

	tent document in search report		Publication date		Patent family memb er(s)	Publication date
WO	9412190	A	09-06-1994	CA DE EP GR JP	2128423 A 626855 T 0626855 A 95300037 T 7504441 T	09-06-1994 03-08-1995 07-12-1994 30-06-1995 18-05-1995
WO	9219215	A	12-11-1992	AU MX	2 002592 A 9 201926 A	21-12-1992 01-11-1992
US	5389363	Α	14-02-1995	NONE		
WO	9729842	A	21-08-1997	AU EP	2 120597 A 0 885060 A	02-09-1997 23-12-1998
EP	0497144	A	05-08-1992	CA DE DE JP	2059379 A 69204697 D 69204697 T 4295417 A	17-07-1992 19-10-1995 22-02-1996 20-10-1992
US	5026540	A	25-06-1991	NONE		
WO	9417775	A	18-08-1994	US AU AU CA EP JP	5356627 A 682679 B 6100394 A 2155184 A 0681463 A 8506342 T	18-10-1994 16-10-1997 29-08-1994 18-08-1994 15-11-1995 09-07-1996
WO	 9842298	A	01-10-1998	AU	6 548798 A	20-10-1998